



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/708,525
				Filing Date	March 10, 2004
				First Named Inventor	Susumu Noda
				Art Unit	2874
				Examiner Name	To be assigned <i>Samig</i>
Sheet	1	of	2	Attorney Docket Number	39,039

Examiner Signature	<i>Sarah A. Long</i>	Date Considered	23 JUN 05
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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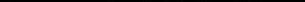
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/708,525
				Filing Date	March 10, 2004
				First Named Inventor	Susumu Noda
				Group Art Unit	2874
				Examiner Name	(To be assigned) SMG
(use as many sheets as necessary)				Attorney Docket Number	39,039
Sheet	2	of	2		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T2
<i>SNLB</i>	O1	A. Chutinan and S. Noda, "Waveguides and waveguide bends in two-dimensional photonic crystal slabs," Physical Review B, Vol. 62, No. 7, 2000, pp. 4488-4492.	
<i>SNL</i>	O2	S. Noda, et al., "Trapping and emission of photons by a single defect in a photonic bandgap structure," Nature, Vol. 407, 5 October 2000, pp. 608-610.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/708,525
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				First Named Inventor	Susumu Noda
				Art Unit	2874
				Examiner Name	Sarah U. Song
Sheet	1	of	2	Attorney Docket Number	

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)				
8768 8768	F1	EP-1-136-853-A	09-26-2001	TDK, Kansai Tech Licensing		✓
	F2	JP-2001-242329-A	09-07-2001	Olympus	Abstract; paras. 0029-0040; Figs. 1a, 1b, 3	✓

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet **2** of **2****Complete if Known**

Application Number	10/708,525
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First Named Inventor	Susumu Noda
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SUS	O1	E. Centeno, et al., "Multiplexing and demultiplexing with photonic crystals," Journal of Optics A: Pure Appl. Opt., IOP Publishing, Bristol, GB, Vol. 1, No. 5, September 1999, pp. L10-L13.	
SUS	O2	Masahiro Imada and Susumu Noda, "Recent progress of Semiconductor Photonic Crystals," IEEE-NANO 2002, August 26, 2002, pp. 217-218.	

Examiner Signature

Sarah U. Song

Date Considered

23 JAN 05

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